

PATENT
Attorney Docket No. 74622-040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Lawrence P. Shields et al.

APPLICATION NO.: 09/779,114

FILING DATE: February 8, 2001

PATENT NO. 7,472,098

ISSUE DATE: December 30, 2008

GROUP NO. 3693

EXAMINER: Patel, Jagdish

TITLE: SYSTEM AND METHOD FOR EXECUTION OF TRADES MADE
PURSUANT TO STOCK OPTION AND PURCHASE PLANS

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR CERTIFICATE OF CORRECTION

The Assignee of record in the above-referenced patent, UBS Financial Services, Inc., by virtue of an assignment recorded September 8, 2003, at Reel 014467, Frame 0783, hereby requests that a Certificate of Correction be issued for U.S. Patent No. 7,472,098 under 35 U.S.C §§ 254 and 37 C.F.R. §§ 1.322.

The typographical error appearing in Claim 7, as indicated in the attached Certificate of Correction, was made by the U.S. Patent Office.

Applicant believes that no fees are due. In the event any fees are due, the Commissioner is hereby authorized to charge any such fees to Attorney's Deposit Account No. 50-3081.

Request for Certificate of Correction
U.S. Patent No. 7,472,098
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If there are any questions regarding this matter, the U.S. Patent Office is kindly requested to contact the undersigned at the telephone number identified below.

Respectfully submitted,



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UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,472,098
DATED : December 30, 2008
INVENTOR(S) : Lawrence P. Shields et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In claim 7, Column 18, Lines 66 and 67, omit the words "a confirmation system; and a journaling system."

MAILING ADDRESS OF SENDER: Patent Administrator PATENT NO.: 7,472,098
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